

## TABLE OF CONTENTS

ACKNOWLEDGEMENTS .....	v
FOREWORD .....	vii

### **PART ONE SAFEGUARDING OF INTANGIBLE CULTURAL HERITAGE AND INTELLECTUAL PROPERTY**

#### **CONVENTION FOR THE SAFEGUARDING OF INTANGIBLE CULTURAL HERITAGE**

##### **Unresolved Issues and Unanswered Questions**

Toshiyuki KONO.....	3
1. Context of the ICH Convention .....	5
2. Issues and Questions Surrounding the Convention .....	21
3. Conclusion .....	39

### **PART TWO DESIGNING A MEANS FOR THE SAFEGUARDING OF INTANGIBLE CULTURAL HERITAGE**

#### **INTANGIBLE CULTURAL HERITAGE IDENTIFIED**

##### **Inventories as an Essential Part of the Safeguarding Process**

Steven VAN UYTSEL and Toshiyuki KONO.....	43
1. Inventory Making and Its Antecedents .....	44
2. Inventorying Under the ICH Convention .....	46
3. Controversies, Conceptual Dilemmas and Intellectual Property Rights... .	47
4. Contributions.....	50

Table of Contents

**HERITAGE AS CULTURE**

**Limits, Uses and Implications of Intangible Cultural Heritage Inventories**

Antonio A. ARANTES .....	51
1. Introduction .....	51
2. Perspectives Set by the ICH Convention .....	52
3. Inventories in Context .....	55
4. The Role of Cultural Communities.....	61
5. Safeguarding Cultural Practices and Protecting Intellectual Property Rights.....	64
Appendix. A Place for Intangible Cultural Heritage in Our Common Futures.....	67

**MANAGING INTELLECTUAL PROPERTY OPTIONS WHEN DOCUMENTING, RECORDING AND DIGITIZING INTANGIBLE CULTURAL HERITAGE**

Wend B. WENDLAND.....	77
1. Introduction .....	78
2. Some Practical Examples As Illustrations.....	81
3. Intellectual Property Best Practices, Protocols and Guidelines for Recording, Digitizing and Disseminating Intangible Cultural Heritage: WIPO's Creative Heritage Project.....	86
4. Introducing WIPO's Work on the Protection of Traditional Knowledge and Cultural Expressions .....	94
5. Concluding Remarks.....	99

**INDIA'S TKDL**

**Definition and Classification of Intangible Cultural Heritage and Traditional Knowledge in the Context of Inventory Making**

Vinod K. GUPTA .....	101
1. India's Traditional Knowledge Digital Library.....	102
2. Problem Dimensions in the Indian Scenario: Scale of Misappropriation of Disclosed Traditional Knowledge .....	103
3. Current Status of the TKDL.....	105
4. The TKDL: Tool for Active Research .....	106
5. Strategy for Preventing Abuse of the TKDL.....	108

6. Application of TKDL Technology to Intangible Cultural Heritage .....	109
7. Conclusions .....	111

**INVENTORY MAKING AND FAIRY TALES**  
**Safeguarding of Intangible Cultural Heritage in Historical Perspective**

Steven VAN UYTSEL .....	113
-------------------------	-----

1. Introduction .....	113
2. A Systematic Approach Towards Intangible Cultural Heritage. ....	115
3. Inventorization with Respect for Community's Interests.....	119
4. The Scope of Inventorization.....	130
5. Inventories Embedded in a Broader Policy Framework .....	135
6. Conclusion .....	140

**PART THREE CONCEPTUALIZATION OF COMMUNITY**

**CONCEPTUALIZATION OF COMMUNITY AS A HOLDER OF  
INTANGIBLE CULTURAL HERITAGE**

Branislav HAZUCHA and Toshiyuki KONO .....	145
--	-----

1. Community and Cultural Heritage .....	146
2. Control over Cultural Traditions .....	149
3. Recognition of Communities and Their Intangible Cultural Heritage ...	152
4. Awareness Raising and Invention of Traditions.....	154

**INDIGENOUS INTANGIBLE CULTURAL HERITAGE AND  
OWNERSHIP OF COPYRIGHT**

Terri JANKE .....	159
-------------------	-----

1. Introduction .....	160
2. Intangible Cultural Heritage and Intellectual Property .....	161
3. Copyright and Traditional Cultural Expressions.....	163
4. Case Studies Dealing with Copyright Forms of Traditional Cultural Expressions.....	178
5. Conclusion: Redressing the Imbalance .....	184

Table of Contents

**WHO IS THE “HOLDER” OF INTANGIBLE CULTURAL HERITAGE?**

**Revisiting the Concept of Community from an Intangible Cultural Heritage Perspective**

Shubha CHAUDHURI ..... 189

1. The Indian Scenario ..... 190
2. Definition of Community: Who Is the Outsider? ..... 191
3. “Inappropriate” Use or Change of Context ..... 193
4. Protecting What Demands Protection ..... 194
5. Individual and Community Ownership ..... 195
6. Conclusion ..... 198

**ON THE SHOULDERS OF THE “OTHER’ED”**

**Intellectual Property Rights in Intangible Cultural Heritage and the Persistence of Indigenous Peoples’ Texts and Inter-Texts in a Contextual World**

Ikechi MGBEOJI ..... 203

1. Intangible Cultural Heritage ..... 205
2. Intangible Cultural Heritage and the Colonial Experience ..... 207
3. Ceremonies and Ownership of Intangible Cultural Heritage ..... 215
4. Who Owns Intangible Cultural Heritage? ..... 220

**COMMUNITY AS A HOLDER OF INTANGIBLE CULTURAL HERITAGE**

**A Broader Public Policy Perspective**

Branislav HAZUCHA ..... 223

1. Defining a “Community” As a Holder of Intangible Cultural Heritage... 227
2. Search for Appropriate Regime: Identification of Costs and Benefits.... 231
3. “Limited Commons” and Law ..... 236
4. Conclusion ..... 244

**PART FOUR COMMUNITY'S PRIOR INFORMED CONSENT****PRIOR INFORMED CONSENT****Empowering the Bearers of Cultural Traditions**

Joël-David DALIBARD and Toshiyuki KONO ..... 247

- |  |     |
|--|-----|
| 1. Origins and Relevance .....   | 248 |
| 2. What Constitutes Prior Informed Consent?.....                                 | 251 |
| 3. The Challenge of Decision-Making: Who Grants Prior Informed<br>Consent? ..... | 253 |
| 4. A Path Worth Following?.....  | 258 |

**PRIOR INFORMED CONSENT AND TRADITIONAL KNOWLEDGE  
IN A MULTICULTURAL WORLD**

Graham DUTFIELD ..... 261

- |   |     |
|---|-----|
| 1. Introduction .....   | 261 |
| 2. “Biopiracy” .....  | 263 |
| 3. The Concept of Prior Informed Consent.....   | 267 |
| 4. Recent Intergovernmental Negotiations on PIC, Traditional<br>Knowledge Protection and Biocultural Resources..... | 269 |
| 5. Prior Informed Consent in Practice: Is It Workable? And What<br>Good Would It Do Anyway? .....                   | 277 |
| 6. Conclusions .....  | 282 |

**PRIOR INFORMED CONSENT****Experiences with Ethnomusicology Recordings**

Wim VAN ZANTEN ..... 283

- |   |     |
|---|-----|
| 1. Between Trust and Legal Protection .....                             | 284 |
| 2. Recording Music: The Role of Institutions and Commercialization..... | 286 |
| 3. Prior Informed Consent of Baduy Minority Group.....                  | 291 |
| 4. A Contract on Paper: Story of a Baduy Gamelan .....                  | 299 |
| 5. A Tape Recorded Contract in Minangkabau .....                        | 303 |
| 6. Conclusion: Who Benefits from the Recordings?.....                   | 306 |

Table of Contents

**PRIOR INFORMED CONSENT**

**An Intellectual Property Law Perspective**

Ryu KOJIMA ..... 309

1. Introduction: The Moore Case and Intangible Cultural Heritage..... 309
2. The Role of Trust ..... 311
3. The Meaning of “Consent” ..... 313
4. Concluding Remark ..... 316

**PART FIVE BENEFITS AND POTENTIAL HARMS TO COMMUNITIES  
AND THEIR INTANGIBLE CULTURAL HERITAGE**

**SAFEGUARDING OF INTANGIBLE CULTURAL HERITAGE**

**Benefits and Potential Harms**

Branislav HAZUCHA and Toshiyuki KONO ..... 319

1. Introduction ..... 319
2. Empowerment of Communities ..... 320
3. Regional Inventories of Intangible Cultural Heritage ..... 323

**FIGHTING AGAINST BIOPIRACY**

**Moving from the Obligation to Disclose to Effective Benefit Sharing**

Jacques DE WERRA ..... 325

1. Introduction ..... 326
2. Origins and Limits of the Obligation to Disclose ..... 332
3. Moving from Transparency to Benefit Sharing ..... 337
4. Conclusion ..... 354

**DOCUMENTATION AND DIGITIZATION OF TRADITIONAL  
KNOWLEDGE AND INTANGIBLE CULTURAL HERITAGE**

**Challenges and Prospects**

Chidi OGUMANAM ..... 357

1. Introduction ..... 358
2. Options for the Protection of Intangible Cultural Heritage: Beyond Intellectual Property ..... 362
3. Documentation and Digitization of Intangible Cultural Heritage ..... 368

Table of Contents

4. Intangible Cultural Heritage Documentation and the Romance of the Public Domain.....	376
5. Conclusion .....	382
TABLE OF INTERNATIONAL TREATIES AND CONVENTIONS .....	385
TABLE OF DECLARATIONS, RECOMMENDATIONS AND OPERATIONAL DIRECTIVES.....	387
BIBLIOGRAPHY .....	389